

122903

16563 U.S. PTO

PTO/SB/21 (08-03)

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Approved for use through 08/30/03. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

57

Application Number

New Application

Filing Date

Herewith

First Named Inventor

Yung-Ming Chen

Group Art Unit

Unassigned

Examiner Name

Unassigned

Attorney Docket Number

50623.328

17510 U.S. PTO
10/747996

122903

ENCLOSURES (check all that apply)☒ Deposit Account 07-1850
Authorization☒ Postage Paid Return Postcard☒ Patent Application (48 pages)☐ Amendment Transmittal Letter (in
duplicate)☐ Affidavits/declaration(s)☐ Petition for Extension of Time (____
month) (in duplicate)☐ Information Disclosure Statement
(in duplicate) with Form PTO-1449
and ____ References☒ Express Mail Label No.
EV 337973714 US☐ Certified Copy of Priority
Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☒ Assignment Papers (3 pages)
(for an Application)☐ Drawing(s) In/Formal ____ Sheets with
Submission of Drawings Transmittal☐ Issue Fee Transmittal with PTO-85b
(in duplicate)☐ Request for Continued Examination
Transmittal (RCE)☒ Fee Transmittal Form (in duplicate)☒ Non-Publication Request☒ Patent Application Transmittal Letter
(in duplicate)☐ Request for Refund☒ Combined Declaration and Power of
Attorney (executed) (2 pages)☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Request for Status of Application☐ Other Enclosure(s)
(please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameSquire, Sanders & Dempsey L.L.P.
Paul J. Meyer, Jr., Reg. No. 47,791

Signature

Date

December 29, 2003

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service as Express mail in an envelope
addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: December 29, 2003

Typed or printed name

Dilora Haddad

Signature

Date

December 29, 2003

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122903
16563 U.S. PTO

PATENT APPLICATION TRANSMITTAL LETTER

(Large Entity)

Docket No.

50623.328

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F. R. 1.53 is the patent application of:

Yung-Ming Chen et al.

For: A SYSTEM AND METHOD FOR COATING AN IMPLANTABLE MEDICAL DEVICE

Enclosed are:

☒ Patent Application 48 total pages

Specification pages 1-38

Claims pages 33-37

Abstract page 38

10 sheets of Informal Drawings, Figs. 1, 2, 3, 4, 5, 6, 7A, 7B, 7C, 8A, 8B, 9, 10A, 10B, 11, 12A, 12B, 13A, 13B, 14A, 14B, 14C, 14D, 15A, 15B, 16A & 16B.

☒ Express Mail Label No. EV 337973714 US

☒ Combined Declaration and Power of Attorney ☒ Signed ☐ Unsigned

☒ Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Preliminary Amendment

☐ Information Disclosure Statement

☒ Assignment including Form PTO-1595

☐ Other:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	33	- 20 =	13	x \$18.00	\$234.00
Indep. Claims	5	- 3 =	2	x \$86.00	\$172.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
Basic Fee					\$770.00
TOTAL FILING FEE					\$1,176.00

☐ A check in the amount of \$000.00 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 07-1850 as described below. A duplicate copy of this sheet is enclosed.

☐ Charge the amount of \$00.00 as filing fee.

☒ Credit any overpayment.

☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

Dated: December 29, 2003

Squire, Sanders & Dempsey L.L.P.

1 Maritime Plaza, Suite 300

San Francisco, CA 94111

(415) 954-0200

Paul J. Meyer, Jr.
Reg. No. 47,791

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,216.00

Complete if Known

Application Number New Application
Filing Date Herewith
First Named Inventor Yung-Ming Chen
Examiner Name Unassigned
Art Unit Unassigned
Attorney Docket No. 50623.328

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number 07-1850

Deposit
Account
Name Squire, Sanders & Dempsey L.L.P.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 770.00

2. EXTRA CLAIM FEES

Total Claims	33	-20 **	=	13	X	18	=	234
Independent Claims	5	-3 **	=	2	X	86	=	172
Multiple Dependent	0				X	290	=	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 406.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	205	Extension for reply within second month	
1253	950	2253	465	Extension for reply within third month	
1254	1,480	2254	725	Extension for reply within fourth month	
1255	2,010	2255	985	Extension for reply within fifth month	
1401	330	2401	160	Notice of Appeal	
1402	330	2402	160	Filing a brief in support of an appeal	
1403	290	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,330	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Paul J. Meyer, Jr. Registration No. Attorney/Agent 47,791 Telephone (415) 954-0200
Signature Date December 29, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Yung-Ming Chen
	Title	A System and Method for Coating an Implantable Medical Device
	Atty. Docket Number	50623.328

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 29, 2003

Date


Signature

(415) 954-0200

Telephone Number

Paul J. Meyer, Jr., Reg. No. 47,791

Typed or printed name and Reg. No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

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